

## Rama K. Malladi, PhD, CFA, CAIA, FRM

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CONTACT INFORMATION	Associate Professor of Finance Department of Accounting, Finance, & Economics, SBS C315 College of Business Administration and Public Policy (CBAPP) California State University - Dominguez Hills (CSUDH) 1000 E. Victoria Street, Carson, CA 90747 Phone: +1 (310) 243-3560, Email: rmalladi@csudh.edu
TEACHING INTERESTS	Financial Modeling, Financial Analytics, Financial Analysis, Portfolio Management, Investments, and Corporate Finance.
RESEARCH INTERESTS	Financial Econometrics, Asset Management, Alternative Investments, Financial Analytics, and Data Engineering. A special interest in applying engineering principles to finance for standardization, measurement, modularization, and simplicity.
EDUCATION	<b>EDHEC Business School</b> (Nice, France) Ph.D., Finance, January 2016 <ul style="list-style-type: none"><li>• Thesis Topic: <i>Evaluation of alternative managers and strategies</i></li><li>• Advisors: Frank J. Fabozzi, Ph.D (Chair), René Garcia, Ph.D, Abraham Lioui, Ph.D, and Bradford Cornell (California Institute of Technology), Ph.D</li></ul> <b>UCLA Anderson School of Management</b> (Los Angeles, California, USA) M.B.A., Business Management, July 2005 <b>Indian Institute of Technology (IIT), Madras</b> (Chennai, India) M.Tech., Electrical Engineering, Specialization in Very Large Scale Integrated Circuits (VLSI), January 1993
CHARTERS AND CERTIFICATIONS	<b>Chartered Financial Analyst (CFA)</b> 2006 to current CFA Institute, Charlottesville, VA, Charter Number: 86943 <b>Chartered Alternative Investment Analyst (CAIA)</b> 2008 to current CAIA Association, Amherst, MA, Charter Number: 1700 <b>Financial Risk Manager (FRM)</b> 2009 to current Global Association of Risk Professionals, Jersey City, NJ, GARP id: 109035 <b>Project Management Professional (PMP)</b> 2009 to 2015 Project Management Institute, Philadelphia, PA, Member id: 1419637
ACADEMIC WORK EXPERIENCE AT CSUDH	<b>Associate Professor of Finance</b> 2017 to current California State University Dominguez Hills, CA <b>Adjunct Professor (PT) of Finance</b> 2012 to 2017 California State University Dominguez Hills, CA

**OTHER TEACHING EXPERIENCE** Taught the below 25 finance and investments classes to 2,900 undergraduate, graduate, and executive students at the California State University (Dominguez Hills, Fullerton, Los Angeles, and Long Beach), Pepperdine University (Malibu, Los Angeles, Irvine, and Encino), Chapman University, USC, and CFALA between 2010 and 2017.

Designed and developed course curriculum from end-to-end. Incorporated various e-learning platforms such as CengageNow, McGraw-Hill Connect, Aplia, Pearson MyFinanceLab, BlackBoard, Moodle, and YouTube in the coursework.

<b>Course Name</b>	<b>Program</b>
FIN300 - Business Finance I	Undergraduate
FIN303 - Business Finance I	Undergraduate
FIN320 - Financial Management I	Undergraduate
FIN321 - Financial Management II	Undergraduate
FIN327 - Financial Management II	Undergraduate
FIN332 - Investments	Undergraduate
FIN350 - Investments	Undergraduate
FIN360 - Business Finance I	Undergraduate
FIN370 - Multinational Finance	Undergraduate
FIN382 - Financial Analysis	Undergraduate
FIN403 - Intermediate Business Finance II	Undergraduate
FIN435 - Fixed Income Analysis	Undergraduate/Graduate
FIN468 - Investments	Undergraduate
FIN474 - Business Finance I	Undergraduate
BUS495 - Fixed Income Securities	Undergraduate
FIN501 - Financial Management	MBA/Graduate
FIN600 - Advanced Business Finance III	MBA/Graduate
FIN630 - Financial Forecasting	MBA/Graduate
FIN638 - Financial Modeling	MBA/MFE/MS/Graduate
FIN655 - Managerial Finance	MBA/Graduate
Alternative Investments	Graduate
Derivatives	Executive
Fixed Income	Graduate
Finance Student Society	Student Organization, Faculty Advisor
Private Equity	Graduate

**RESEARCH PAPERS, PUBLISHED IN PEER-REVIEWED JOURNALS**

1. Dheeriya, Prakash, Malladi, Rama. “KIDZ Beating The Market? A Case For Children-focused Thematic Index (KIDZ)”. *The Journal of Wealth Management* (2019), Volume 22, Issue 1, Pages: 62-72, Institutional Investor Journals Group Publication.
2. Malladi, Rama, Dheeriya, Prakash, and Martinez, Jose. “Predicting Bitcoin return and volatility using Gold and the Stock market”. *The Quarterly Review*

of Business Disciplines (2019), Volume 5, Issue 4, Pages: 357-373, International Academy of Business Disciplines (IABD) Publication.

3. Malladi, Rama, and Fabozzi, Frank. "Equal-weighted Strategy: Why it outperforms value-weighted strategies? Theory and evidence". *Journal of Asset Management* (2017), Volume 18, Issue 3, Pages: 188-208, Springer Publication.
4. Malladi, Rama, and Fabozzi, Frank. "Skillful hiding: Evaluating hedge fund managers' performance based on what they hide". *Applied Economics* (2017), Volume 49, Issue 7, Pages: 664-676, Taylor & Francis Publication.

RESEARCH  
PAPERS,  
SUBMITTED &  
UNDER REVIEW IN  
PEER-REVIEWED  
JOURNALS

1. Malladi, Rama, Brodmann, Jennifer. "AUM or Top Quartile? Impact of Chasing Returns on Quartile Rankings".
2. Malladi, Rama, Dheeriyaa, Prakash. "Can Crashes in Crypto-Currencies be Predicted? An Application of Time Series Analysis".
3. Malladi, Rama. "Investing in children by investing in firms that cater to children".
4. Malladi, Rama. "Luck Versus Skill in Evaluating Hedge Fund Managers' Performance".
5. Parikh, Harsh, Malladi, Rama, and Fabozzi, Frank. "Preparing for higher inflation: Portfolio solutions using U.S. equities".

RESEARCH PAPERS  
IN PREPARATION

1. Malladi, Rama. "Impact of rebalancing frequency on fund performance".
2. Malladi, Rama. "HARI: Characteristics of a new retirement planning product".
3. Malladi, Rama. "Evaluating Private-Equity fund managers' performance using false discovery methods".

NEWS MEDIA  
PUBLICATION

- Why Consumers Flock to Prepaid Debit Cards?, *Fox Business News*, 04/23/2013.

SAMPLE LIST OF  
INVITED  
CONFERENCE  
PRESENTATIONS

1. Can Crashes in Crypto-Currencies be Predicted? An Application of Time Series Analysis, 94th annual conference of the Western Economic Association, San Francisco, CA, 06/28/2019 to 07/02/2019.
2. Business models for finance professional organizations (CFA Societies as example), Western Region Conference, Las Vegas, NV, 11/18/2016.
3. Social Media: Advanced Tools & Strategies, CFA Institute Americas Regional Conference, San Diego, CA, 03/18/2016.
4. CFA Exam: Engaging Candidates, Global Society Leadership Conference, Hong Kong, 10/10/2015.

SAMPLE LIST OF  
CONFERENCE  
PRESENTATIONS

1. AUM or Top-Quartile? Impact of Chasing Returns on Quartile Rankings, 34th annual conference of the Academy of Financial Services, Minneapolis, MN, 10/15/2019 to 10/16/2019.
2. AUM or Top-Quartile? Impact of Chasing Returns on Quartile Rankings, 48th annual meeting of the Western Decision Sciences Institute, Cabo San Lucas, Mexico, 03/05/2019 to 03/10/2019.
3. The Association Between BitCoin, Gold, AND VIX, 30th Annual Conference of the International Academy of Business Disciplines, San Francisco, CA, 04/07/2018, page 47.

SAMPLE LIST OF  
CONFERENCE  
CHAIR /  
DISCUSSANT /  
REVIEWER

1. Session Chair, 2019 FMA Annual Meeting, 2019 Annual Conference of the Financial Management Association International (FMA), Session Chair on Commodities and Futures Markets, New Orleans, LA, 10/23/2019 to 10/26/2019.
2. Program Committee Member, 2019 FMA Annual Meeting, 2019 Annual Conference of the Financial Management Association International (FMA), New Orleans, LA, 10/23/2019 to 10/26/2019.
3. Discussant, Session 111, Structural Change and Business Cycles, 94th annual conference of the Western Economic Association, San Francisco, CA, 06/28/2019 to 07/02/2019.
4. Discussant, Session 183, Crisis Lending, 2018 Annual Conference of the Financial Management Association International (FMA), San Diego, CA, 10/10/2018 to 10/13/2018.

INDUSTRY  
EXPERIENCE

- Specialized in financial analytics, predictive analytics, credit modeling, risk analysis, visual analytics, business analytics, and business intelligence.
- 26 years (since 1993) of financial services, investment, management, and technology consultancy experience, powered by data and model-driven analytics, gained in three continents, at the leadership level.
- Worked with firms such as Toyota Financial Services (CA), Starbucks Corporate Office (Seattle), National Stock Exchange (NY), Wachovia Securities (CA), IBM (UK), British Telecom (London, UK), British Gas (Solihull, UK), Hewlett-Packard (Bangalore, India), Tata Consultancy Services (Birmingham, UK and Bombay, India), and 20+ other firms in building various financial and technology (FinTech) products and teams.
- Worked and lived in global financial centers such as Los Angeles, New York, San Francisco, London, Paris, Nice, Zurich, and Bombay. I bring a global perspective backed by on-the-ground experience.

- COMPUTER SKILLS
- Oracle certified professional (SQL, databases, 1999) and PMP certified project manager (2009).
  - Advanced knowledge in relational databases (Oracle, MySQL), financial modeling, financial analysis, predictive analytics, visual analytics, business intelligence, complex, high-speed, and parallel processing software.
  - Proficient in EViews, S+, R, Spotfire, Excel (VBA), MATLAB, Stata, SAS, SPSS, Fortran/C/C++/Python, Bloomberg (BMC certified), Factset, Business Objects, Cognos, and OBIEE.

SERVICE

1. Department of Accounting, Finance, & Economics, CBAPP, CSUDH
  - Tenure-track finance faculty search and recruitment committee, Chair. (2018 to current)
  - Assurance of Learning (AOL) committee, Member, facilitate AACSB accreditation process. (2017 to current)
  - Finance Student Society, Inaugural faculty advisor, launched the first finance student club in the university. (2017 to current)
  - Finance industry guest speaker series, Inaugural faculty advisor, invited six finance and investments industry senior leaders to campus to address finance students. (2017 to current)
  - CFA Institute Research Challenge, Inaugural faculty advisor, Prepared a five-member student team to compete in the global research challenge in which more than 5,000 students from over 1,000 universities compete. CSUDH competed with USC, Pomona College, Pepperdine, LMU, and Claremont in this challenge. (2017 to current)
  - Department (Accounting, Finance, and Economics) administration committee, Member. (2017 to current)
2. University
  - Untenured Faculty Organization @ CSUDH, Social committee chair and executive officer. (2017 to current)
3. Professional Association, CFA Society Los Angeles (CFALA), a finance and investments professional association with more than 2,300 CFA Charter holders as members in Southern California, is one of the top-15 finance societies by membership in the world, and the 5<sup>th</sup> largest in the USA.
  - Chair of the Education Committee (2005 to current)
  - Elected President (2015 to 2016)
  - Immediate Past-President (2016 to 2017), Vice President (2014 to 2015), Treasurer (2013 to 2014), and Secretary (2012 to 2013).
  - Chair and Director of the Annual Fixed Income Series for practitioners (2006 to current)
  - Chair of the technology committee (2006 to 2014)
  - Chair of the alternative investments committee (2008 to 2014)

- Director of the annual Alternative Investments series for practitioners (2011 to 2014)
  - Outstanding committee member of the year 2008
  - Winner of the annual financial markets forecast in two categories 2008
  - Trainer for incoming board members on fiduciary duties (2015 to 2017)
  - Instructor of the University of Southern California (USC)/CFALA CFA Review program. (2012 to 2017)
4. Professional Association, CFA Institute, a global, not-for-profit organization, is the world's largest association of investment professionals with more than 164,000 members and 151 local member societies worldwide.
- Member, CFA global exam, active volunteer to support the CFA exam in a confidential capacity, (2019 to current)
  - Member, CFA global exam, practice analysis working Body (2019 to current)
  - Familiar with the integration and synergy opportunities between finance departments, the CFA Institute, local CFA societies, and investments industry. (2005 to current)
  - Finance faculty advisor and mentor, CFA Institute's global investment research challenge. (2013 to current)
  - Global society leader, attended several global leadership meetings in Hong Kong, Vancouver, Las Vegas, etc., as a President's council member and Board member of the CFA Society Los Angeles (2005 to 2016)
5. Professional Association, CAIA Association, the global authority in alternative investment education, is best known for the CAIA Charter, an internationally recognized finance credential and the gateway to a network of more than 10,000 alternative investment leaders in more than 95 countries.
- ALTSLA - The West Coast's premier annual alternative investment Conference, speaker (2017)
  - CAIA Curriculum Initiative Working Group, member (2008)
6. Journal / Conference Referee
- Annual Conference of the Financial Management Association International (FMA), 2019, New Orleans, LA. Reviewed nine papers.
  - Journal of Wealth Management, Reviewer, 2019. Reviewed one paper.
  - Journal of Business and Management, Reviewer, 2019. Reviewed one paper.
  - Annual Conference of the Financial Management Association International (FMA), 2018, San Diego, CA. Reviewed one paper.
  - Journal of Asset Management, Reviewer, 2017. Reviewed one paper.
  - The Financial Review, Reviewer, 2013. Reviewed one paper.

PROFESSIONAL  
AFFILIATIONS  
(MEMBER)

- American Finance Association
- Financial Management Association International

- Western Finance Association
- Western Economic Association International
  
- CFA Society Los Angeles
- CFA Institute
- Chartered Alternative Investment Analyst Association
- Global Association of Risk Professionals
- Institute of Electrical and Electronics Engineers (retired)
- Project Management Institute (retired)

REFERENCES      To be provided upon request

OTHER

- U.S. citizen
- Ranked among the top-3% of all engineering graduates in India in the IIT entrance exam
- Languages spoken: English, Hindi, and Telugu
- Languages written: Fortran, C, R, MATLAB, SAS, SQL

FAVORITE  
QUOTATION      “A journey of a thousand miles begins with a single step”, from Tao Te Ching, Chapter: 64.8, Credited to the Chinese philosopher Laozi from 6th century BCE.