

Rama K. Malladi, PhD, CFA, CAIA, FRM, PMP

CONTACT	Associate Professor of Finance
INFORMATION	Department of Accounting, Finance, & Economics, SBS C315 College of Business Administration and Public Policy (CBAPP) California State University - Dominguez Hills (CSUDH) 1000 E. Victoria Street, Carson, CA 90747 Phone: +1 (310) 243-3560, Email: rmalladi@csudh.edu
TEACHING INTERESTS	Financial Modeling, Financial Analytics, Financial Analysis, Portfolio Management, Investments, and Corporate Finance.
RESEARCH INTERESTS	Financial Econometrics, Asset Management, Alternative Investments, Financial Analytics, and Data Engineering. Special interest in applying engineering principles to finance for standardization, measurement, modularization, and simplicity.
ACADEMIC EDUCATION	EDHEC Business School (Nice, France and London, UK) Ph.D., Finance, January 2016 <ul style="list-style-type: none">• Dissertation Topic: <i>Evaluation of alternative managers and strategies</i>• Dissertation Advisors: Frank J. Fabozzi, Ph.D. (Chair), René Garcia, Ph.D., Abraham Lioui, Ph.D., and Bradford Cornell (California Institute of Technology), Ph.D. UCLA Anderson School of Management (Los Angeles, California, USA) M.B.A., Business Management, July 2005 Indian Institute of Technology (IIT), Madras (Chennai, a.k.a. Madras, India) M.Tech., Electrical Engineering, Specialization in Microelectronics and Very Large Scale Integrated Circuits (VLSI) design, January 1993
INDUSTRY CHARTERS AND CERTIFICATIONS	Chartered Financial Analyst (CFA) 2006 to current CFA Institute, Charlottesville, VA, Charter Number: 86943 Chartered Alternative Investment Analyst (CAIA) 2008 to current CAIA Association, Amherst, MA, Charter Number: 1700 Financial Risk Manager (FRM) 2009 to current Global Association of Risk Professionals, Jersey City, NJ, GARP id: 109035 Project Management Professional (PMP) 2009 to current Project Management Institute, Philadelphia, PA, Member id: 1419637.

ACADEMIC
EXPERIENCE AT
CSUDH

Associate Professor of Finance

2017 to current

California State University Dominguez Hills, CA

Adjunct Professor of Finance

2012 to 2017

California State University Dominguez Hills, CA

OTHER
ACADEMIC
EXPERIENCE

Taught the below 27 finance and investments classes to more than 3,700 undergraduate, graduate, and executive students at the California State University (Dominguez Hills, Fullerton, Los Angeles, and Long Beach), Pepperdine University (Malibu, Los Angeles, Irvine, and Encino), Chapman University, USC, and CFALA since 2010.

Designed and developed course curriculum from end-to-end. Incorporated various e-learning platforms such as CengageNow, McGraw-Hill Connect, Aplia, Pearson MyFinanceLab, BlackBoard, Moodle, and YouTube in the coursework.

Course Name	Program
FIN300 - Business Finance I	Undergraduate
FIN303 - Business Finance I	Undergraduate
FIN320 - Financial Management I	Undergraduate
FIN321 - Financial Management II	Undergraduate
FIN327 - Financial Management II	Undergraduate
FIN332 - Investments	Undergraduate
FIN350 - Investments	Undergraduate
FIN360 - Business Finance I	Undergraduate
FIN370 - Multinational Finance	Undergraduate
FIN382 - Financial Analysis	Undergraduate
FIN403 - Intermediate Business Finance II	Undergraduate
FIN435 - Fixed Income Analysis	Undergraduate/Graduate
FIN468 - Investments	Undergraduate
FIN474 - Business Finance I	Undergraduate
BUS495 - Fixed Income Securities	Undergraduate
FIN501 - Financial Management	MBA/Graduate
FIN506 - Investments and Portfolio Management	MBA/Graduate
FIN600 - Advanced Business Finance III	MBA/Graduate
FIN630 - Financial Forecasting	MBA/Graduate
FIN638 - Financial Modeling	MBA/MS/Business Analytics
FIN655 - Managerial Finance	MBA/Graduate
Alternative Investments	Graduate
Derivatives	Executive
Fixed Income Analytics	Graduate
Finance Student Society	Faculty Advisor
Private Equity	Graduate
Finance for Non-Finance Executives	Executive

**Published or
Forthcoming**
RESEARCH
PAPERS IN
PEER-REVIEWED
JOURNALS, MLA
FORMAT

9. Malladi, Rama, and Prakash Dheeriya. "Time Series Analysis of Cryptocurrency Returns and Volatilities." *Journal of Economics and Finance* 45.1 (2020): 21-35, Springer Publication.
8. Yavas, Burhan F., and Rama K. Malladi. "Foreign direct investment and financial markets influences: results from the United States." *The North American Journal of Economics and Finance* 53.1 (2020): 37-50, Elsevier Publication.
7. Parikh, Harsh, Rama K. Malladi, and Frank J. Fabozzi. "Preparing for higher inflation: Portfolio solutions using U.S. equities." *Review of Financial Economics* 38.3 (2020): 542-554, Wiley Publication.
6. Malladi, Rama. "Luck versus skill in evaluating hedge fund managers' performance." *Journal of Business and Management* 26.1 (2020): 22-39, Decision Sciences Institute Publication.
5. Malladi, Rama. "KIDS Thematic Indices: Enabling investors to invest in firms that cater to children." *The Journal of Index Investing* 10.3 (2019): 85-103, Portfolio Management Research Publication.
4. Malladi, Rama, Prakash Dheeriya, and Jose Martinez. "Predicting Bitcoin return and volatility using Gold and the Stock market." *The Quarterly Review of Business Disciplines* 5.4 (2019): 357-373, International Academy of Business Disciplines (IABD) Publication.
3. Dheeriya, Prakash, and Rama Malladi. "KIDZ Beating The Market? A Case For Children-focused Thematic Index (KIDZ)." *The Journal of Wealth Management* 22.1 (2019): 62-72, Institutional Investor Journals Publication.
2. Malladi, Rama, and Frank J. Fabozzi. "Equal-weighted Strategy: Why it outperforms value-weighted strategies? Theory and evidence." *Journal of Asset Management* 18.3 (2017): 188-208, Springer Nature Publication.
1. Malladi, Rama, and Frank J. Fabozzi. "Skillful hiding: Evaluating hedge fund managers' performance based on what they hide." *Applied Economics* 49.7 (2017): 664-676, Taylor & Francis Publication.

"UNDER
REVIEW" OR
"REVISE &
RESUBMIT"
RESEARCH
PAPERS IN
PEER-REVIEWED
JOURNALS

1. Malladi, Rama. "*HARI*: Characteristics of a new retirement planning product."
2. Malladi, Rama, and Jennifer Brodmann. "AUM or Top Quartile? Impact of Chasing Returns on Quartile Rankings."
3. Malladi, Rama. "Econometric analysis of cryptocurrency returns, volatilities, and linkages using panel data methods."
4. Malladi, Rama, and Burhan Yavas. "Foreign direct investment and stock markets in emerging countries: An application to Brazil, Russia, India and S. Africa."
5. Malladi, Rama, and Joshua Mean. "The gender pay gap and stock performance: A study of the replaced executives in U.S. firms."
6. Brodmann, Jennifer, Phuvadon Wuthisatian, and Rama Malladi. "The Liquidity and Performance of SRI Funds."

Published

RESEARCH PAPERS IN CONFERENCE PROCEEDINGS

1. Slow and Steady Wins the Race: The Impact of Chasing Returns on Quartile Rankings, 34th annual conference of the Academy of Financial Services, Minneapolis, MN, 10/15/2019 to 10/16/2019.
2. Can Crashes in Crypto-Currencies be Predicted? An Application of Time Series Analysis, 94th annual conference of the Western Economic Association, San Francisco, CA, 06/28/2019 to 07/02/2019.
3. AUM or Top-Quartile? Impact of Chasing Returns on Quartile Rankings, 48th annual meeting of the Western Decision Sciences Institute, Cabo San Lucas, Mexico, 03/05/2019 to 03/10/2019.
4. The Association Between Bitcoin, Gold, AND VIX, 30th Annual Conference of the International Academy of Business Disciplines, San Francisco, CA, 04/07/2018, page 47.

RESEARCH PAPERS IN PREPARATION

1. Malladi, Rama. "Impact of rebalancing frequency on fund performance."
2. Malladi, Rama. "Can machine learning outperform traditional statistical forecasting? Comparative study of firm bankruptcies"

SAMPLE NEWS MEDIA PUBLICATIONS

1. Ask the Experts: Best Business-Credit-Card Practices, [WalletHub](#), 10/25/2019.
2. Why Consumers Flock to Prepaid Debit Cards?, [Fox Business News](#), 04/23/2013.

SAMPLE LIST OF INVITED CONFERENCE PRESENTATIONS

1. Can Crashes in Crypto-Currencies be Predicted? An Application of Time Series Analysis, 16th annual international conference of the Western Economic Association, School of Economics, Fudan University, Shanghai, China, 03/19/2020 to 03/22/2020.
2. Can Crashes in Crypto-Currencies be Predicted? An Application of Time Series Analysis, 94th annual conference of the Western Economic Association, San Francisco, CA, 06/28/2019 to 07/02/2019.
3. Business models for professional finance organizations (CFA Societies as an example), Western Region Conference, Las Vegas, NV, 11/18/2016.
4. Social Media: Advanced Tools & Strategies, CFA Institute Americas Regional Conference, San Diego, CA, 03/18/2016.
5. CFA Exam: Engaging Candidates, Global Society Leadership Conference, Hong Kong, 10/10/2015.

SAMPLE LIST OF
CONFERENCE
PRESENTATIONS,
(* IF
PRESENTED BY A
COAUTHOR

1. AUM or Top-Quartile? Impact of Chasing Returns on Quartile Rankings, Southern Finance Association 2019 Annual Meeting, Orlando, FL, 11/2019 (*).
2. Slow and Steady Wins the Race: The Impact of Chasing Returns on Quartile Rankings, 34th annual conference of the Academy of Financial Services, Minneapolis, MN, 10/15/2019 to 10/16/2019.
3. AUM or Top-Quartile? Impact of Chasing Returns on Quartile Rankings, 48th annual meeting of the Western Decision Sciences Institute, Cabo San Lucas, Mexico, 03/05/2019 to 03/10/2019.
4. The Liquidity and Performance of SRI Funds, 56th annual meeting of the Academy of Economics and Finance, St. Pete Beach, FL, 02/2019 (*).
5. The Association Between Bitcoin, Gold, AND VIX, 30th Annual Conference of the International Academy of Business Disciplines, San Francisco, CA, 04/07/2018, page 47.

SAMPLE LIST OF
CONFERENCE
SESSION CHAIR /
DISCUSSANT

1. Session Chair, 2020 FMA Annual Meeting, 2020 Annual Conference of the Financial Management Association International (FMA), Corporate Social Responsibility (CSR) Investments, New York, NY, 10/19/2020 to 10/23/2020.
2. Session Chair, 2019 FMA Annual Meeting, 2019 Annual Conference of the Financial Management Association International (FMA), Commodities and Futures Markets, New Orleans, LA, 10/23/2019 to 10/26/2019.
3. Program Committee Member, 2019 FMA Annual Meeting, 2019 Annual Conference of the Financial Management Association International (FMA), New Orleans, LA, 10/23/2019 to 10/26/2019.
4. Discussant, Session 111, Structural Change and Business Cycles, 94th annual conference of the Western Economic Association, San Francisco, CA, 06/28/2019 to 07/02/2019.
5. Discussant, Session 183, Crisis Lending, 2018 Annual Conference of the Financial Management Association International (FMA), San Diego, CA, 10/10/2018 to 10/13/2018.

INDUSTRY
EXPERIENCE,
([LinkedIn](#)
Profile)

- Specialized in financial analytics, predictive analytics, credit modeling, risk analysis, visual analytics, business analytics, and business intelligence.
- 27 years (since 1993) of financial services, investments, management, and technology consultancy experience, powered by data- and model-driven analytics, gained in three continents, at the leadership level.
- Worked with global firms such as Toyota Financial Services (CA), Starbucks Corporate Office (Seattle), National Stock Exchange (NY), Wachovia Securities (CA), IBM (UK), British Telecom (London, UK), British Gas (Solihull, UK), Hewlett-Packard (Bangalore, India), Tata Consultancy Services (Birmingham, UK and Bombay, India), and 20+ other firms in building various financial and technology (FinTech) products and teams.

- Worked and lived in global financial and technology centers such as Los Angeles, New York, San Francisco, London, Paris, Nice, Zurich, Bombay, Silicon Valley, Seattle, and Bangalore. I bring to the table a global perspective backed by hands-on and on-the-ground experience.

COMPUTER SKILLS

- Oracle Certified Professional (OCP) database administrator, 1999 (Oracle 8 DBA, Oracle 8i DBA). To be certified, one has to pass all five exams on SQL, PL/SQL, database performance and tuning, database backup and recovery, database architecture and administration, and network administration.
- Project Management Professional (PMP), certified project manager (2009). PMP certification examination covers project initiation, planning, executing, monitoring, controlling, and closing. To be certified, one has to pass the PMP exam, complete a bachelor's degree, have at least three years of experience as a project manager in a professional setting, and have at least 4,500 hours as the leader of project tasks. In addition, to maintain certification, 60 professional development units (PDUs) must be earned over a three-year cycle.
- Advanced knowledge in relational databases (Oracle, MySQL), financial modeling, financial analysis, predictive analytics, visual analytics, business intelligence, complex, high-speed, and parallel processing software.
- Proficient in EViews, S+, R, Spotfire, Tableau, Excel (VBA), MATLAB, Stata, SAS, SPSS, Fortran/C/C++/Python.
- Proficient in Bloomberg (BMC certified), Factset, Business Objects, Cognos, and OBIEE.

SERVICE

1. Department of Accounting, Finance, & Economics, CBAPP, CSUDH

- Tenure-track finance faculty search and recruitment committee, Chair. Our committee (made of Dr. Prakash Dheeriya, Dr. Bingsheng Yi, and I) has completed the search process successfully and hired a tenure-track assistant professor of finance. (2018 to 2019)
- Finance Student Society, Inaugural faculty advisor. Launched the first finance student club in the University. Organized the financial industry guest speaker series and invited 32 finance and investments industry leaders so far to the campus to address finance students. Created a community for students to hang out and network for professional development. Organized 11 events, including the corporate mixer attended by prominent firms such as New York Life, Verizon, Port of Los Angeles, Robert Half International, PepsiCo, EDD, etc. (2017 to current)
- CFA Institute Research Challenge, Inaugural faculty advisor, Prepared five-member student teams to compete in the global research challenge in which more than 5,000 students from over 1,000 universities compete. CSUDH students competed with the University of Southern California (USC), Pomona College, Pepperdine University, Loyola Marymount University, Claremont Graduate University, CSUN, and CSUF. (2017 to current)

- New course development. Developed curriculum for a new finance concentration elective course on Fixed Income (Bond Markets), walked it through the curriculum development and review process and launched it in Fall 2019. (2017 to current)
 - Department administration committee, Member. Assisted the department chair by providing second opinion and required help on faculty-related administrative matters. (2017 to current)
2. College of Business Administration & Public Policy (CBAPP), CSUDH
- Assurance of Learning (AOL) committee, Member. Facilitated the AACSB accreditation process for the CBAPP undergraduate program. (2017 to current)
 - AACSB deferral report-writing Committee, Member. Our committee prepared the final report sent to the AACSB to obtain initial accreditation for the CBAPP undergraduate program. (2019 to current)
3. University, CSUDH
- University Budget Committee, Member, representative of the College, CBAPP. (2020 to current)
 - Academic Senate, Member, representative of the Department of Accounting, Finance, Economics, and Business Law. (2019 to current)
 - Untenured Faculty Organization, Social committee chair, and executive officer. (2017 to current)
4. Professional Association, CFA Society Los Angeles (CFALA) is an association of investment professionals, consisting of portfolio managers, security analysts, investment advisors, and other financial practitioners. Founded in 1931, CFALA serves the professional needs and requirements of CFA charterholders, CFA Program candidates, and finance and investment professionals in Southern California. CFALA, with more than 2,300 CFA charterholders as members in Southern California, is one of the top-15 finance societies by membership in the world, and the 5th largest in the USA.
- Chair of the Education Committee. (2005 to current)
 - Elected President. (2015 to 2016)
 - Immediate Past-President (2016 to 2017), Vice President (2014 to 2015), Treasurer (2013 to 2014), and Secretary (2012 to 2013).
 - Chair and Director of the annual Fixed Income Series for practitioners. (2006 to current)
 - Chair of the technology committee. (2006 to 2014)
 - Chair of the alternative investments committee. (2008 to 2014)
 - Director of the annual Alternative Investments series for practitioners. (2011 to 2014)
 - Outstanding committee member of the year. 2008
 - Winner of the annual financial markets forecast in two categories. 2008
 - Trainer for incoming board members on fiduciary duties. (2015 to 2017)
 - Instructor of the University of Southern California (USC)/CFALA CFA Review program. (2012 to 2017)
5. Professional Association, CFA Institute, a global, not-for-profit organization, is the world's

largest association of investment professionals with more than 164,000 members and 151 local member societies worldwide.

- Member, CFA global exam, active volunteer to support the CFA exam in a confidential capacity. (2019 to current)
- Member, CFA global exam, practice analysis working Body. (2019 to current)
- Familiar with the synergy opportunities between finance departments, the CFA Institute, local CFA societies, and the investments industry. (2005 to current)
- Finance faculty advisor and mentor, CFA Institute's global investment research challenge. (2013 to current)
- Global society leader, attended several global leadership meetings in Hong Kong, Vancouver, Las Vegas, etc., as a President's council member and Board member of the CFA Society Los Angeles. (2005 to 2016)

6. Professional Association, CAIA Association, the global authority in alternative investment education, is best known for the CAIA Charter, an internationally recognized finance credential and the gateway to a network of more than 10,000 alternative investment leaders in more than 95 countries.

- ALTSLA - The West Coast's premier annual alternative investment Conference, speaker. (2017)
- CAIA Curriculum Initiative Working Group, member. (2008)

7. Journal / Conference Referee

- The Journal of Portfolio Management, Reviewer, 2020.
- Annual Conference of the Financial Management Association International (FMA), 2020, New York.
- Annual Conference of the Financial Management Association International (FMA), 2019, New Orleans, LA.
- Journal of Wealth Management, Reviewer, 2019 to current.
- Journal of Business and Management, Reviewer, 2019 to current.
- Annual Conference of the Financial Management Association International (FMA), 2018, San Diego, CA.
- Journal of Asset Management, Reviewer, 2017.
- The Financial Review, Reviewer, 2013.

AWARDS

- CSUDH President's Challenge (2020), Award recipient, for the team proposal on "Understanding and Building Academic Resilience, Community and Retention at CSUDH." The purpose of the proposal was to "understand and promote academic resilience among students."
- CFA Institute Research Foundation Society Award (2015), Led the CFA Society Los Angeles, as president of the Society and chair of the education committee, to win the global Society excellence award. CFALA was the winner out of 96 entries submitted globally in various categories, representing 41 societies worldwide. This annual award is given to the society or societies that do the best job of utilizing the CFA Institute Research Foundation content

in an activity, product, or service. The goal of the award is to build a stronger, more effective working relationship between our member societies and the CFA Institute Research Foundation.

- CFALA Outstanding committee member of the year (2008), technology committee, for moving the technology operations to a cloud-computing environment and for implementing member analytics solution. CFALA was the first society, out of more than 150 global CFA societies, to move into a cloud computing environment. This annual award by the CFALA Board of Governors is given to the individual who has made substantial contributions to the Society while either heading or acting as a member of a CFALA committee during the previous year.

PROFESSIONAL
AFFILIATIONS
(MEMBER)

- American Finance Association
- Financial Management Association International
- Western Finance Association
- Western Economic Association International

- CFA Society Los Angeles
- CFA Institute
- Chartered Alternative Investment Analyst Association
- Global Association of Risk Professionals
- Project Management Institute
- Institute of Electrical and Electronics Engineers (retired)

CSU OFFICE OF
THE
CHANCELLOR
CERTIFICATIONS

- CSU Certificate Program in Student Success Analytics, 05/2020.
- Quality Matters: Independent Applying the QM Rubric (APPQMR): (Statewide Systems), 03/2020.
- Quality Matters: Independent Improving Your Online Course (IYOC): (Statewide Systems), 02/2020.

REFERENCES

To be provided upon request.

OTHER

- U.S. citizen.
- Ranked among the top-3% of all engineering graduates in India's IIT entrance exam.
- Spoken Languages: English, Telugu, and Hindi.

FAVORITE
QUOTATION

A journey of a thousand miles begins with a single step.

Chinese Philosopher Laozi, 6th century BCE, in Tao Te Ching, 64.8.